

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Chojiro KURIYAMA                      Serial No.: Unknown  
Filed: Concurrent herewith                      Docket: 10921.0429USWO  
Title: SOLID ELECTROLYTIC CAPACITOR

**CERTIFICATE UNDER 37 C.F.R. 1.10**

Express Mail mailing label number: EV 858804621 US

Date of Deposit: September 28, 2006

I hereby certify that the papers listed below are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: \_\_\_\_\_

Name: Abbie Larkin

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Copies of any foreign patent documents or "Other Documents" are enclosed. Some of the references were cited in a International Search Report, mailed June 22, 2005, enclosed herewith for the Examiner's reference.

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the Filing Date, before the mailing date of a First Office Action on the merits, or before the mailing date of a First Office Action on the merits after the filing of a request for continued examination under 37 C.F.R. §1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and
- ☐ the requisite Statement is below, OR
- ☐ the requisite fee of \$180.00 under Rule 1.17(p) is included herein, or
- ☐ (3) after the mailing date of a Final Rejection or Notice of Allowance but on or before the payment of the Issue Fee, AND

- ☐ the requisite Statement is below, AND
- ☐ the requisite fee of \$180.00 under Rule 1.17(p) is included herein.

### STATEMENT

As required under §1.97(e), Applicants hereby state either that:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

- ☐ If this box is checked, Applicant provides the following:

#### Certification Under 37 C.F.R. §1.704(d)

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

- ☐ The Examiner is hereby advised of the following co-pending U.S. applications. A copy of each U.S. patent application publication (if published) or application (if not published) is enclosed.

Application No.

Filing Date

Group

- ☒ A concise explanation of the relevance of the corresponding English language publications of some non-English language documents or other information is as follows (37 C.F.R. §1.98(a)(3)):

JP 2003-101311 corresponds to US 2004/0105218

JP 2003-338433 corresponds to US 2003/0218859

JP 61-51911 corresponds to US 4,599,788

JP 2004-518812 corresponds to US 6,436,268

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

**FEE AUTHORIZATION**

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 50-3478. Any overpayments should be credited to said Deposit Account.


Respectfully submitted,



HAMRE, SCHUMANN, MUELLER &  
LARSON, P.C.

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Dated: \_\_\_\_\_

By:  \_\_\_\_\_  
Douglas P. Mueller  
Reg. No. 30,300

DPM:acp

Date Mailed: September 28, 2006

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number: 10921.0429USWO	Application Number: 10/594700 Unknown
	Applicant: Chojiro KURIYAMA	
	Filing Date: Concurrent herewith	Group Art Unit Unknown

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 2004/0105218	06/2004	Masuda et al.			
	US 2003/0218859	11/2003	Yoshida			
	US 4,599,788	07/1986	Love et al.			
	US 6,436,268	08/2002	Melody et al.			

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 2003-142347	05/2003	Japan			Abstract	
	JP 2003-101311	04/2003	Japan			See IDS	
	JP 2001-57319	02/2001	Japan			Abstract	
	JP 2003-338433	11/2003	Japan			See IDS	
	JP 61-51911	03/1986	Japan			See IDS	
	JP 2004-518812	06/2004	Japan			See IDS	
	JP 2003-163137	06/2003	Japan			Abstract	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
		International Search Report for the corresponding International application WO 2005/096332, mailed June 22, 2005.

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PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	